Higgs searches: validation and assessment of the simulation tools

The Zurich phenomenology workshop:

Higgs search confronts theory

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 - used in actual analyses, to estimate backgrounds and signal efficiencies
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- I focus here on a (likely incomplete) review of the status and prospects of validation against data

For a thorough discussion of TH predictions and systematics, see the new forthcoming report:

Handbook of LHC Higgs cross sections: 2. Differential Distributions

Report of the LHC Higgs Cross Section Working Group

Editors: S. Dittmaier C. Mariotti G. Passarino R. Tanaka

Validation: general remarks

• Signal modeling:

- Validation of signal-specific features can only be done once the signal is available: for the Higgs, this is still a bit premature, alas!
- General features of final states, relevant to the proper simulation of signal events, can however be partly assessed using "signal control samples", namely SM processes probing specific dynamical properties, such as:
 - initial-state radiation (Higgs pt spectrum, associated jet multiplicity, VBF jet-veto survival)

Background modeling:

- Higgs searches are sensitive to a broad and diverse set of SM bg processes: DY, ttbar, V+jets (V=W, Z, γ), V+hvq's, VV, etc.
- By and large, LHC/Tevatron data have shown good agreement with theory for most processes.
 - In many cases, data-driven bg estimates are also usable and **are** used.
 - In both cases, however, one needs to confirm the validity of the transfer of the bg estimate from the control region to the Higgs signal region
- Open issues however remain. E.g.
 - Wbb, Zbb
 - VBF-like final states never tested at the Tevatron, still poorly tested at LHC

• ..

Side remark

- Validation of Higgs-specific tools gives valuable information on tools' performance, which can then be applied in other contexts
- It is therefore useful to consider turning some of the studies done in the context of Higgs searches into actual measurements (e.g. cross sections corrected and unfolded to the hadron level) or data vs MC comparisons
 - Several examples already exist, e.g. VV (V=W, Z, γ) cross section's and will be discussed in the following

$H \rightarrow \gamma \gamma$

- Signal observation doesn't rely heavily on MC modeling of either S or B. Just fit the sidebands
- Limit setting (or cross-section determination) relies directly on signal modeling. Typical strategy:
 - NLO POWHEG for gg→H,VBF
 - LO MC for VH, ttH, etc
 - rescale total rates of individual procs to (N)NLO
 - reweight events to match pt(H) distribution at NNLL (e.g. HqT, DeFlorian et al, JHEP IIII (2011) 064)

pt_H spectrum



- Only indirect validation checks can be made, as no adequate control sample exists for comparison. E.g.
 - pt_{DY} is driven by qqbar initial state (rather than gg)
 - pt_{t-tbar} is mostly in color-octet (and $\sqrt{s} >> O(120 \text{ GeV})$)

ISR validation/tuning in $qqbar \rightarrow DY$ at the LHC

ATL-PHYS-PUB-2011-015



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Indirect ISR validation in gg→tt at the LHC



Indirect ISR validation in gg→tt at the LHC



ISR validation in qqbar→tt at the Tevatron



$H \rightarrow \gamma \gamma$, backgrounds

 Specific study of signal (e.g. YY angular correlations), may require more detailed understanding of background's properties, because of huge B/S ratio



• The contamination rate from $\gamma j/j j$ depends on both physics processes and experimental effects (detector+event selection). The physics aspects touch on difficult-to-model hadronization issues (e.g. $z \rightarrow I$ fragmentation). It would be interesting to know whether these direct determinations of the relative $\gamma \gamma /\gamma j/j j$ fractions agree with MC estimates 10

Example

ATLAS-CONF-2011-161



$pp \rightarrow \gamma \gamma$ production, CMS, arXiv:1110.6461v1





cfr ATLAS, arxiv:1107.0581 :

Question: is the $\gamma\gamma/\gamma j/j$ jj separation done for each bin in each distribution, or is it done globally for the full sample? Could the discrepancies be due to the different spectra of $\gamma\gamma/\gamma j/j j$, and the different composition across bins?



VH, H→bb

- Signal modeling: NLO MC+shower, rescale to NNLO, no big issues expected
- Bg's
 - V+jets
 - V+QQ
- Key issue: m_{jj} spectrum for bg peaks close to 100 GeV, due to kin cuts. Thus data-driven interpolation from the sidebands of bg spectrum is less robust for light Higgs than, e.g., in the H→ γγ case



W+jets validation

Alpgen Sherpa and Pythia σ_{tot} normalized to $\sigma_{NNLO}(W)$



W+b-jet CDF analysis

• $p_{T \ lepton} > 20 \ GeV$, $|\eta_{lepton}| < 1.1 \ MET > 25 \ GeV$ • $p_{T \ jet} > 20 \ GeV$, $|\eta_{\ jet}| < 2, R=0.4$

	σwь х BR(W→e nu) [pb]	
CDF	2.74 ± 0.27 (stat) ± 0.42 (syst)	
MCFM	1.22 ± 0.14	Data/NLO > 2 !!
Wbb+Wbb1jet MLM matching with Herwig	[0.504] _{Wbb} +[0.126] _{Wbbj} =0.73	Data/Alpgen > 3 !



	CDF	MCFM Q ² =M ² +p ²	$\begin{array}{c} MCFM \\ Q^2 = \left< PT^2 \right> \end{array}$	ALP Q ² =M ² +p ²	$\begin{array}{c} ALP \\ Q^2 = \left< p_T^2 \right> \end{array}$
σ[Z+b-jet] / σ [Z+jet]	2.1 ± 0.4 %	I.8%	2.2%	I.6%	2.3%
σ [Z+b-jet] / σ [Z]	0.33 ±0.07%	0.23%	0.28%	0.21%	0.3%

CMS Z production in association with b jets CMS-PAS-EWK-10-015



	Sample	$rac{pp ightarrow ee+b+X}{pp ightarrow ee+j+X}$ (%) $\mathrm{p}_{T}^{e}>25$ GeV, $ \eta^{e} <2.5$	$rac{pp o \mu \mu + b + X}{pp o \mu \mu + j + X}$ (%) $p_T^{\mu} > 20$ GeV, $ \eta^{\mu} < 2.1$
(1)	Data SSVHE	$4.3 \pm 0.6(stat) \pm 1.1(syst)$	$5.1 \pm 0.6(stat) \pm 1.3(syst)$
(2)	Data SSVHP	$5.4 \pm 1.0(stat) \pm 1.2(syst)$	$4.6 \pm 0.8(stat) \pm 1.1(syst)$
	MADGRAPH	$5.1 \pm 0.2(stat) \pm 0.2(syst) \pm 0.6(theory)$	$5.3 \pm 0.1(stat) \pm 0.2(syst) \pm 0.6(theory)$
	MCFM	4.3 ± 0.5 (theory)	4.7 ± 0.5 (theory)

⁽¹⁾ High-tagging efficiency sample

⁽²⁾ High-tagging purity sample

Z + b-jets results

$$\sigma = \frac{N_b}{C_e \times \mathcal{L}_e + C_\mu \times \mathcal{L}_\mu}$$

- Inclusive b-jet production cross section in association with a Z boson
- Jet fitted yield is corrected for all detector effects with MC LO matched prediction for Zjet (including heavy flavour) from ALPGEN and SHERPA
- uncertainty: \approx 20% stat. and \approx 23% syst.
- dominant systematics:
 - b-tagging & SV mass template $\approx 10\%$
 - Z+b-jet modeling $\approx 10\%$
 - Jet + bjet energy scale ≈4%
- MCFM in good agreement with data within uncertainty



MCFM	3.40 ± 0.44 pb
ALPGEN	2.23 ± 0.01 (stat only)pb
SHERPA	3.33 ± 0.04 (stat only) pb





$$\sigma_{W+b-\text{jet}} \times \mathcal{B}(W \to \ell \nu) = \frac{n^{\text{tag}} \cdot f_{W+b-\text{jet}}}{\int L dt \cdot \mathcal{U}}$$

- W+b-jet cross section (event level)
- First measurement in exclusive jet bins
- event fitted yield is corrected for all detector effects with MC LO matched prediction for Wjet (including heavy flavour) from ALPGEN
- uncertainty: \approx 20% stat. and \approx 25% syst.
- dominant systematics:
 - b-tagging & SV mass template ≈ 16%
 - top background ≈12%
 - QCD background ≈7%
 - W+b-jet modeling $\approx 10\%$
 - Jet + bjet energy scale ≈7%



- NLO prediction obtained in the 5 flavour number scheme [F. Caola et al. arXiv:1107.3714]
- NLO agrees within 1.5σ with the measurements

	σ_{vis} [pb]
1 jet	$2.9^{+0.40}_{-0.36}$ (scale) $^{+0.18}_{-0.02}$ (PDF) $^{+0.19}_{-0.10}$ (m _b) \pm 0.20 (non-pert)
2 jet	$1.9^{+0.81}_{-0.37}$ (scale) $^{+0.14}_{-0.02}$ (PDF) $^{+0.06}_{-0.05}$ (m _b) \pm 0.13 (non-pert.)
1+2 jet	$4.8^{+1.20}_{-0.73}$ (scale) $^{+0.32}_{-0.03}$ (PDF) $^{+0.25}_{-0.15}$ (m _b) \pm 0.34 (non-pert.)



For the applications to $VH \rightarrow Vbb$ searches, it is crucial to parameterize possible discrepancies or K-factors in the data/theory comparison of VQQ final states w.r.t. the multiplicity of heavy quark jets



b

This does not contribute to H→bb bg

This contributes to $H \rightarrow bb bg$



ATLAS-CONF-2011-134







Event yields

Yield
349.7 ± 30.3
17.2 ± 1.6
106.9 ± 38.9
63.8 ± 15.9
12.2 ± 5.3
1.6 ± 0.4
8.5 ± 0.9
8.7 ± 1.7
568.6 ± 52.2
626

Measured cross section for $\sigma(W^+W^-)$:

2011 data with 1.1 fb⁻¹ $55.3\pm3.3(stat)\pm6.9(syst)\pm3.3(lumi)$ pb 2010 data with 36 pb⁻¹ $41.1\pm15.3(stat)\pm5.8(syst)\pm4.5(lumi)$ pb NLO prediction: 43.0 ± 2.1 pb (qq \rightarrow WW) + 1.46 pb (gg \rightarrow WW)

This is the WW Xsect study, not the Higgs search

Comment:

Data – (S+B) = 58 ± 52 where $52=39_{W+jets} \oplus 16_{Wt+tt} \oplus 5_{DY+WW+ZZ}$ thus the only reasonable origin of the discrepancy is the systematics in the W +jets channel, or the statistics in the signal ($\pm 30 => \sim 2\sigma$)

•
$$N_{obs} = 414$$

 $N_{Bkg} = 169.8 \pm 6.4 \pm 27.1$

$$\sigma_{WW}^{tot}$$
 =48.2 ± 4.0(stat) ± 6.4(syst) ± 1.8(lumi) pb

$$\sigma_{Theory(NLO)}^{tot} = 46 \pm 3 \ pb$$

Michael Kagan

LHC Electroweak Working Group Meeting -

Vector-boson fusion processes

Potential issues

- $VV \rightarrow H \rightarrow X$ Signal:
 - jet-veto efficiency (no suitable data as yet for validation)
- pp→Xjj backgrounds:
 - jet-veto rejection (data available for tests with X=0)
- $gg \rightarrow H \rightarrow X$ background:
 - jet-veto rejection

From the HWG, vol 2 prelim draft

VBF total rates

A. Denner, S. Farrington, C. Hackstein, C. Oleari, D. Rebuzzi (eds.); S. Dittmaier, A. Mück, S. Palmer and W. Quayle.

Table 14: Higgs-boson NLO cross sections at 7 TeV with VBF cuts and CTEQ6.6 PDF set with and without EW corrections, relative EW corrections and theoretical uncertainties from PDF and scale variations.

			-			-	
	w/ EW corr		w/o EW corr		EW corr	uncert.	
$M_{ m H}$	HAWK	VBFNLO	HAWK	VBFNLO	HAWK	PDF	scale
[GeV]	[fb]	[fb]	[fb]	[fb]	[%]	[%]	[%]
120	261.18 ± 0.43	258.27 ± 0.41	283.91 ± 0.42	282.80 ± 0.19	-8.0 ± 0.2	± 3.5	+0.5 - 0.5
150	218.40 ± 0.36	216.84 ± 0.40	236.75 ± 0.35	236.68 ± 0.14	-7.8 ± 0.2	± 3.5	+1.0 - 0.5
200	165.22 ± 0.24	163.50 ± 0.24	176.46 ± 0.24	176.89 ± 0.10	-6.4 ± 0.2	± 3.6	+0.6 - 0.6
250	123.81 ± 0.17	122.67 ± 0.17	133.13 ± 0.16	133.15 ± 0.07	-7.0 ± 0.2	± 3.8	+0.6 - 0.5
500	38.10 ± 0.07	37.31 ± 0.08	38.38 ± 0.07	38.41 ± 0.02	-0.7 ± 0.3	± 4.3	+0.4 - 0.4
600	26.34 ± 0.12	25.46 ± 0.07	25.70 ± 0.11	25.55 ± 0.01	2.5 ± 0.7	± 4.4	+0.7 - 0.6

Table 15: POWHEG Higgs-boson NLO QCD cross sections at 7 TeV with VBF cuts and CTEQ6.6 PDF set: fixed NLO results, POWHEG showered by PYTHIA (PY) and by HERWIG (HW).

$M_{ m H}$	POWHEG NLO	POWHEG + PY	POWHEG + HW
[GeV]	[fb]	[fb]	[fb]
120	282.87 ± 0.75	262.96 ± 0.99	262.04 ± 0.99
150	237.30 ± 0.57	221.54 ± 0.79	219.95 ± 0.79
200	177.05 ± 0.38	164.55 ± 0.55	163.83 ± 0.55
250	132.93 ± 0.26	124.19 ± 0.40	123.65 ± 0.40
500	34.04 ± 0.07	31.92 ± 0.09	31.78 ± 0.10
600	20.56 ± 0.03	19.47 ± 0.06	19.30 ± 0.06

Table 18: NLO QCD cross sections and efficiencies from VBFNLO for the full VBF selection including the jet veto cut of 20 GeV and the corresponding relative uncertainties from the QCD scale and PDFs.

$M_{ m H}$	Cross section			Efficiency		
[GeV]	[fb]	[%]	[%]	[%]	[%]	[%]
120	261.64	± 3.76	± 4.91	0.200	± 3.485	± 1.468
150	218.69	± 3.59	± 4.66	0.221	± 3.376	± 1.196
200	163.34	± 3.92	± 4.66	0.252	± 3.829	± 1.490
250	123.06	± 4.16	± 5.11	0.279	± 4.145	± 1.493
500	33.55	± 4.37	± 5.43	0.365	± 4.766	± 1.227
600	20.82	± 4.44	± 5.58	0.384	± 4.958	± 1.002

$$p_{T_j} > 20 \text{ GeV}, \qquad |y_j| < 4.5$$

 $|y_{j_1} - y_{j_2}| > 4, \qquad m_{jj} > 600 \text{ GeV}.$

Summary assessment:

Low mass:

 $\Delta(\text{shower/PL}) = -8\%$ $\Delta(\text{EW/noEW}) = -8\%$

High mass:

POWHEG: Δ (shower/PL) = -5% Δ (POWHEG NLO/VBFNLO)= -20% * Δ (EW/noEW)= +2.5%

* diff due to different BW implementation

PDF:

±3-4% CTEQ6.6 ±5-6% MSTW2008NLO (central values consistent within syst)

X-section after jet veto:

± 4% from scale variation (VBFNLO)± 5% from PDF (VBFNLO)

3rd-jet rates and spectra in Hjj production

Del Duca et al, JHEP 0610 (2006) 016





need to incorporate higher-order MEs for proper simulation of central jet activity (and thus veto survival rate) in VBF H production



Figure 8: Jet-multiplicity distribution for jets that pass the cuts of eqs. (3.3) and (3.4) (left panel) and those that fall within the rapidity interval of the two tagging jets, $\min(y_{j_1}, y_{j_2}) < y_j < \max(y_{j_1}, y_{j_2})$ (right panel).

POWHEG study of 3rd-jet emission in VBF Hjj production at NLO, Nason and Oleari, JHEP 1002 (2010) 037

Veto inefficiency for VBF signal:

*



=> syst's ~±5% for signal efficiency

Proper validation would require study of, e.g., VBF production of Zjj

T. Figy, V. Hankele, and D. Zeppenfeld, Next-to-leading order QCD corrections to Higgs plus three jet production in vector-boson fusion, JHEP **02** (2008) 076, [0710.5621].

Studies of jet activity in final states with dijets at large Δy

ATLAS, JHEP 1109 (2011) 053

indirect validation of jet-veto suppression efficiency for bgs







Figure 3. Gap fraction as a function of Δy for various \overline{p}_{T} slices. The dijet system is defined as the two leading- p_{T} jets in the event. The data are compared to the HEJ and POWHEG predictions in (a). The ratio of these theory predictions to the data are shown in (b). The (unfolded) data are the black points, with error bars representing the statistical uncertainty and a solid (yellow) band representing the total systematic uncertainty. The darker (blue) band represents the theoretical uncertainty in the HEJ calculation from variation of the PDF and renormalisation/factorisation scales. The dashed (red) and dot-dashed (blue) curves represent the POWHEG predictions after showering, hadronisation and underlying event simulation with PYTHIA (tune AMBT1) and HERWIG/JIMMY (tune AUET1), respectively.

At large ∆y (VBF region) POWHEG +Herwig has more jet activity than data (up to x2) and more than POWHEG+Pythia

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Δy

=> syst's ~±50% for bg suppression









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- Independently of this, explicit comparisons, checks and validations show that tools appear to be in rather good shape and up to the task of discovery
- Nevertheless, some aspects of the simulation of Higgs production are still poorly tested (e.g.VBF)
- Higgs-search studies are bringing in valuable information for the validation and further improvement of the tools, and further efforts should be made, alongside the discovery race, to fully exploit the potential of these data, to benefit improved tools, and further applications to studies of the Higgs once found, or other BSM searches